

Central KYC Registry | Know Your Customer (KYC) Application Form | Legal Entity/Other than Individuals

Important Instructions:

A.Fields marked with '*' are mandatory fields.

B.Tick '✓' wherever applicable.

C.Please fill the date in DD-MM-YYYY format.

D.Please fill the form in English and in BLOCK letters.

E.KYC [KIN] number of applicant is mandatory for

F.List of State/U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.

G.List of two-character ISO 3166 country codes is available at the end.

H.Please read section wise detailed guidelines/instructions at the end.

I. For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

update application.

For office use only

(To be filled by financial institution)

Application Type*

☐ New

☐ Update

KYC Number [KIN]

(Mandatory for KYC update request)

☐ 1. Entity Details* (Please refer instruction A at the end)

☐ Name*

Entity Constitution Type*

☐ Others (Specify)

(Please refer instruction B at the end)

Date of Incorporation/Formation*

Date of Commencement of Business

Place of Incorporation/Formation*

Country of Incorporation/Formation*

TIN or Equivalent Issuing Country

PAN*

TIN/GST Registration Number

☐ 2. PROOF OF IDENTITY (POI)* (Please refer instruction B at the end)

☐ Officially valid document(s) in respect of person authorised to transact

☐ Certificate of Incorporation/Formation

☐ Registration Certificate

Regn Certificate No.

☐ Memorandum and Articles of Association

☐ Partnership Deed

☐ Trust Deed

☐ Resolution of Board/Managing Committee

☐ Power of Attorney granted to its manager, officers or employees to transact on its behalf

☐ Activity proof -1 (For Sole Proprietorship Only)

☐ Activity proof -2 (For Sole Proprietorship Only)

☐ 3. ADDRESS (Please see instruction C at the end)

☐ 3.1 Registered Office Address/Place of Business*

Proof of Address*

☐ Certificate of Incorporation/Formation

☐ Registration Certificate

☐ Other Document

Line 1* Line 2 Line

3 District*

City/Town/Village*

Pin/Post Code*

State/U.T Code*

ISO 3166 Country Code*

☐ 3.2 Local Address in India (If different from above)*

Line 1*

Line 2

Line 3

City/Town/Village*

District*

Pin/Post Code*

State/U.T Code*

ISO 3166 Country Code*

☐ 4. Contact Details (All communications will be sent to Mobile number/Email-ID provided may be used) (Please refer instruction D at the end)

Tel. (Off)

Fax

Mobile

Email ID

Mobile

Email ID

☐ 5. Number of Related Persons ☐ (Please fill Annexure A-2 for each related persons & also refer instruction E at the end)

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby declare that I am not making this application for the purpose

- contravention of any Act, Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email

address. I also providing consent to MF/AMC/KRA to share this KYC data with CKYCR, download the information from CKYCR and other participating intermediaries as mandated by PMLA Act/Rules/SEBI guidelines.

Signature/Thumb Impression of Authorised Person(s)

[illegible]

Documents Received ☐ Certified Copies ☐ Equivalent e-document ☐

Institution details

Name _____

[illegible]

Annexure A2 | Legal Entity | Other than Individuals

Central KYC Registry | Know Your Customer (KYC) Application Form | Related Person

Important Instructions:

A. Fields marked with "*" are mandatory fields.

B. Tick '✓' wherever applicable.

C. Please fill the date in DD-MM-YY format.

D. Please fill the form in English and in BLOCK letters.

E. KYC [KIN] number of applicant is mandatory for update application.

F. List of State/U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.

G. List of two-character ISO 3166 country codes is available at the end.

H. Please read section wise detailed guidelines/instructions at the end.

I. For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

For office use only

(To be filled by financial institution)

Application Type*

☐ New

☐ Update

☐ Delete

KYC [KIN] Number

(Mandatory for KYC update and delete request)

1.Details of Related Person* (Please refer instruction E at the end)

☐ Addition of Related Person

☐ Deletion of Related Person

☐ Update Related Person Details

KYC Number of Related Person (if available*)

(If KYC number is available, only 'Related Person Type' & 'Name' is mandatory)

Related Person Type*

☐ Director

☐ Promoter

☐ Karta

☐ Trustee

☐ Partner

☐ Court Appointment Official

☐ Proprietor

☐ Beneficiary

☐ Authorised Signatory

☐ Beneficial Owner

☐ Power of Attorney Holder

☐ Other (Please specify)

DIN (Director Identification Number)

(Mandatory if Related Person Type is Director)

1.1 Personal Details (Please refer instruction E at the end)

| | Prefix | First Name | Middle Name | Last Name |
|--------------------------|-------------------------------------|---|---|----------------------|
| Name* (Same as ID proof) | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Maiden Name | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Father / Spouse Name* | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Mother Name | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Date of Birth* | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Gender* | <input type="checkbox"/> M- Male | <input type="checkbox"/> F- Female | <input type="checkbox"/> T- Transgender | |
| Nationality* | <input type="checkbox"/> IN- Indian | <input type="checkbox"/> Others (ISO 3166 Country Code <input type="text"/>) | | |
| PAN* | <input type="text"/> | | | |

1.2 Proof of Identity and Address* (Please refer instruction E at the end)

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

☐ A-Passport Number

☐ B-Voter ID Card

☐ C-Driving Licence

Driving Licence Expiry Date

☐ D-NREGA Job Card

☐ E-National Population Register Letter

☐ F-Proof of Possession of Aadhaar

II ☐ E-KYC Authentication

III ☐ Offline verification of Aadhaar

Address

| | | | | | | | | | | | |
|----------------|----------------------|--|--|--|-----------------|--------------------|------------------------|--|--|--|--|
| Line 1* | <input type="text"/> | | | | | | | | | | |
| Line 2 | <input type="text"/> | | | | | | | | | | |
| Line 3 | <input type="text"/> | | | | | | | | | | |
| District* | <input type="text"/> | | | | | City/Town/Village* | <input type="text"/> | | | | |
| Pin/Post Code* | | | | | State/U.T Code* | | ISO 3166 Country Code* | | | | |

☐ PHOTO*



1.3 Current Address Details (Please refer instruction E at the end)

☐ Same as above mentioned address (In such cases address details as below need not be provided)

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

☐ A-Passport Number

☐ B-Voter ID Card

☐ C-Driving Licence

☐ D-NREGA Job Card

☐ E-National Population Register Letter

☐ F-Proof of Possession of Aadhaar

II ☐ E-KYC Authentication

III ☐ Offline verification of Aadhaar

IV ☐ Deemed PoA

V ☐ Self-Declaration

| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|-----------|--|--|--|--|--|----------------|--|--|--|--|--|--|--|--|--|--------------------|--|--|--|--|--|--|--|--|--|------------------------|--|--|--|--|
| Line 1* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Line 2 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Line 3 | | | | | | | | | | | | | | | | City/Town/Village* | | | | | | | | | | | | | | |
| District* | | | | | | Pin/Post Code* | | | | | | | | | | State/U.T Code* | | | | | | | | | | ISO 3166 Country Code* | | | | |

[illegible]

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby declare that I am not making this application for the purpose
- contravention of any Act, Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time I here by consent to receiving information from Central KYC Registry through
- SMS/Email on the above registered number/email address. I also providing consent to MF/AMC/KRA to share this KYC data with CKYCR, download the information from CKYCR, and other participating intermediaries as mandated by PMLA Act/Rules/SEBI guidelines

Date:

| | | | |
|---|---|---|---|
| D | D | M | M |
|---|---|---|---|

 -

| | | | |
|---|---|---|---|
| Y | Y | Y | Y |
|---|---|---|---|

 Place:

| | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|
| | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|

Signature/Thumb Impression of Applicant

Documents Received ☐ Certified Copies ☐ E-KYC data received from UIDAI ☐ Data received from Offline verification
☐ Digital KYC Process ☐ Equivalent e-document

[illegible]

Name

Code

[Institution Stamp]

Central KYC Registry | Instructions / Check list / Guidelines for filling Legal Entity / Other than Individuals KYC Application F

A. Clarification / Guidelines on filling 'Entity Details' section

1. Entity Constitution Type A –

Sole Partnership

B –Partnership Firm

C –HUF D –Private Limited

Company E –Public Limited

Company F –Society

H –Trust

I –Liquidator

J –Limited Liability Partnership

K –Artificial Liability Partnership

L –Public Sector Banks

M –Central/State Government Department or Agency S –Foreign Portfolio Investors

O –Artificial Juridical Person

P –International Organisation or

Agency/Foreign Embassy or Consular Office, etc.

Q –Not Categorized

R –Others

G –Association of Persons (AOP)/Body of Individuals (BOI)N –Section 8 Companies (Companies Act, 2013)

2. In case of companies and partnerships, PAN of the entity is mandatory. In case of other entities.

B. Clarification / Guidelines on filling 'Proof of Identity [POI]' section

A. Activity Proof –1 and Activity Proof –2 are applicable for accounts in case of proprietorship firms. Please refer to relevant instructions issued by the Reserve Bank of India in this regard.

B. Please refer to the relevant instructions issued by the regulator regarding applicable documents for the legal entity.

C. Certified copy of document or equivalent e-document or OVD obtained through Digital KYC process to be submitted.

D. 'Equivalent e-document' means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the Information Technology (Preservation and Retention of Information by Intermediaries Providing Digital Locker Facilities) Rules, 2016.

E. 'Digital KYC process' has to be carried out as stipulated in the PML Rules, 2005.

F. KYC requirements for Foreign Portfolio Investors (FPIs) will be as specified by the concerned regulator from time to time.

C. Clarification/Guidelines for filling Proof of Address [PoA]' section

A. State/U.T Code and Pin/Post Code will not be mandatory for overseas addresses.

B. Certified copy of document or equivalent e-document to be submitted.

D. Clarification/Guidelines for filling 'Related Person Details' section

A. Please mention two-digit 'country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).

B. Do not add '0' in the beginning of Mobile number.

E. Clarification/Guidelines for filling 'Related Person Details' section

1. Personal Details

- The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- One of the following is mandatory: Mother's name, Spouse's name, Father's name.

2. Proof of Address [PoA]

- PoA to be submitted only if the submitted PoI does not have an address or address as per PoI is invalid or not in force.
- State/U.T Code and Pin/Post Code will not be mandatory for Overseas addresses.
- In case of deemed PoA such as utility bill, the document need not be uploaded on CKYCR
- REs may use the Self Declaration check box where Aadhaar authentication has been carried out successfully for a client and client wants to provide a current address, different from the address as per the identity information available in the Central Identities Data Repository.

C. If KYC number of Related Person is available, no other details except 'Person Type' and 'Name of the Related' are required.

D. Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading on CKYCR.

F. Provision for capturing signature of multiple authorised persons is to be made by the RE.

G. List of people authorized to attest the documents after verification with the originals:

1. Authorised officials of Asset Management Companies (AMC).
2. Authorised officials of Registrar & Transfer Agent (R&T) acting on behalf of the AMC.
3. KYD compliant mutual fund distributors.
4. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
5. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.
6. Government authorised officials who are empowered to issue Apostille Certificates.

General instructions:

1. Self-Certification of documents is mandatory.
2. Copies of all documents that are submitted need to be compulsorily self-attested by the applicant and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the list mentioned under [F].
3. If any proof of identity or address is in a foreign language, then translation into English is required duly attested by the official as indicated above
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If current & permanent addresses are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport / PIO Card /OCI and overseas address proof is mandatory.
8. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
9. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board / Passport of Minor / Birth Certificate must be provided.

List of two digit state / U.T codes as per Indian Motor Vehicle Act, 1988

| State/U.T | Code | State/U.T | Code | State/U.T | Code |
|------------------------|------|------------------|------|---------------|------|
| Andaman& Nicobar | AN | Himachal Pradesh | HP | Pondicherry | PY |
| Andhra Pradesh | AP | Jammu & Kashmir | JK | Punjab | PB |
| Arunachal Pradesh | AR | Jharkhand | JH | Rajasthan | RJ |
| Assam | AS | Karnataka | KA | Sikkim | SK |
| Bihar | BR | Kerala | KL | Tamil Nadu | TN |
| Chandigarh | CH | Lakshadweep | LD | Telangana | TS |
| Chhattisgarh | CG | Madhya Pradesh | MP | Tripura | TR |
| Dadra and Nagar Haveli | DN | Maharashtra | MH | Uttar Pradesh | UP |
| Daman & Diu | DD | Manipur | MN | Uttarakhand | UA |
| Delhi | DL | Meghalaya | ML | West Bengal | WB |
| Goa | GA | Mizoram | MZ | Other | XX |
| Gujarat | GJ | Nagaland | NL | | |
| Haryana | HR | Orissa | OR | | |

List of ISO 3166 two digit Country Code

| Country | Country Code | Country | Country Code | Country | Country Code | Country | Country Code |
|---------------------------------------|--------------|--|--------------|--|--------------|--|--------------|
| Afghanistan | AF | Dominican Republic | DO | Libya | LY | Saint Pierre and Miquelon | PM |
| Åland Islands | AX | Ecuador | EC | Liechtenstein | LI | and the Grenadines | VC |
| Albania | AL | Egypt | EG | Lithuania | LT | Sao Tome and Principe | WS |
| Algeria | DZ | El Salvador | SV | Luxembourg | LU | Saudi Arabia | SA |
| American Samoa | AS | Equatorial Guinea | GO | Macao | MO | Senegal | SN |
| Andorra | AD | Eritrea | ER | Macedonia, the former Yugoslav Republic of | MK | Seychelles | SC |
| Angola | AO | Estonia | EE | Madagascar | MG | Sierra Leone | SL |
| Anguilla | AI | Ethiopia | ET | Malawi | MW | Singapore | SG |
| Antarctica | AQ | Falkland Islands (Malvinas) | FK | Malaysia | MY | Sint Maarten (Dutch part) | ST |
| Antigua and Barbuda | AG | Faroe Islands | FO | Maldives | MV | Slovakia | SK |
| Argentina | AR | Fiji | FJ | Mali | ML | Slovenia | SI |
| Armenia | AM | Finland | FI | Malta | MT | Solomon Island | SO |
| Aruba | AW | France | FR | Marshall Island | MH | Somalia | SA |
| Australia | AU | French Guiana | GF | Martinique | MQ | South Africa | SN |
| Austria | AT | French Polynesia | PF | Mauritania | MR | South Georgia and the South Sandwich Islands | RS |
| Azerbaijan | AZ | French Southern Territories | TF | Mauritius | MU | South Sudan | SS |
| Bahamas | BS | Gabon | GA | Moyotte | YT | Spain | ES |
| Bahrain | BH | Gambia | GM | Mexico | MX | Sri Lanka | LK |
| Bangladesh | BD | Georgia | GE | Micronesia, Federated States of | FM | Sri Lanka | SL |
| Barbados | BB | Germany | DE | Moldova, Republic of | MD | Sri Lanka | SL |
| Belarus | BY | Ghana | GH | Monaco | MC | Sri Lanka | SL |
| Belgium | BE | Gibraltar | GI | Mongolia | MN | Sri Lanka | SL |
| Belgium | BZ | Greece | GR | Montenegro | ME | Sri Lanka | SL |
| Benin | BJ | Greenland | GL | Montserrat | MS | Sri Lanka | SL |
| Bermuda | BM | Grenada | GD | Morocco | MA | Sri Lanka | SL |
| Bhutan | BT | Guadeloupe | GP | Mozambique | MZ | Sri Lanka | SL |
| Bolivia, Plurinational State of | BO | Guam | GU | Myanmar | MM | Sri Lanka | SL |
| Bonaire, Sint Eustatius and Saba | BQ | Guatemala | GT | Namibia | NA | Sri Lanka | SL |
| Bosnia and Herzegovina | BA | Guernsey | GG | Nauru | NZ | Sri Lanka | SL |
| Botswana | BW | Guinea | GN | Nepal | NP | Sri Lanka | SL |
| Bouvet Island | BV | Guinea-Bissau | GW | Netherlands | NL | Sri Lanka | SL |
| Brazil | BR | Guyana | GY | New Caledonia | NC | Sri Lanka | SL |
| British Indian Ocean Territory | IO | Haiti | HT | New Zealand | NZ | Sri Lanka | SL |
| Brunei Darussalam | BN | Heard Island and McDonald Islands | HM | Nicaragua | NI | Sri Lanka | SL |
| Bulgaria | BG | Holy See (Vatican City State) | VA | Niger | NE | Sri Lanka | SL |
| Burkina Faso | BF | Honduras | HN | Nigeria | NG | Sri Lanka | SL |
| Burundi | BI | Hong Kong | HK | Niue | NU | Sri Lanka | SL |
| Cabo Verde | CV | Hungary | HU | Norfolk Island | NF | Sri Lanka | SL |
| Cambodia | KH | Iceland | IS | Northern Mariana Islands | MP | Sri Lanka | SL |
| Cameroon | CM | India | IN | Norway | NO | Sri Lanka | SL |
| Canada | CA | Indonesia | ID | Oman | OM | Sri Lanka | SL |
| Cayman Islands | KY | Iran, Islamic Republic of | IR | Pakistan | PK | Sri Lanka | SL |
| Central African Republic | CF | Iraq | IQ | Palestine, State of | PS | Sri Lanka | SL |
| Chad | TD | Ireland | IE | Panama | PA | Sri Lanka | SL |
| Chad | CL | Isle of Man | IM | Papua New Guinea | PG | Sri Lanka | SL |
| China | CN | Israel | IL | Paraguay | PY | Sri Lanka | SL |
| Christmas Island | CX | Italy | IT | Peru | PE | Sri Lanka | SL |
| Cocos (Keeling) Islands | CC | Jamaica | JM | Philippines | PH | Sri Lanka | SL |
| Colombia | CO | Japan | JP | Pitcairn | PN | Sri Lanka | SL |
| Comoros | KM | Jersey | JE | Poland | PL | Sri Lanka | SL |
| Congo | CG | Jordan | JO | Portugal | PT | Sri Lanka | SL |
| Congo, the Democratic Republic of the | CD | Kazakhstan | KZ | Puerto Rico | PR | Sri Lanka | SL |
| Cook Islands | CK | Kenya | KE | Qatar | QA | Sri Lanka | SL |
| Costa Rica | CR | Kiribati | KI | Reunion Reunion | RE | Sri Lanka | SL |
| Cote d'Ivoire Cote d'Ivoire | CI | Korea, Democratic People's Republic of | KP | Romania | RO | Sri Lanka | SL |
| Croatia | HR | Korea, Republic of | KR | Russian Federation | RU | Sri Lanka | SL |
| Cuba | CU | Kuwait | KW | Rwanda | RW | Sri Lanka | SL |
| Curacao Curacao | CW | Kyrgyzstan | KG | Saint Barthélemy Saint Barthélemy | BL | Sri Lanka | SL |
| Cyprus | CY | Lao People's Democratic Republic | LA | Saint Helena, Ascension and Tristan da Cunha | SH | Sri Lanka | SL |
| Czech Republic | CZ | Latvia | LV | Saint Kitts and Nevis | KN | Sri Lanka | SL |
| Denmark | DK | Lebanon | LB | Saint Lucia | LC | Sri Lanka | SL |
| Djibouti | DJ | Lesotho | LS | Saint Martin (French Part) | MF | Sri Lanka | SL |
| Dominica | DM | Liberia | LR | | | Sri Lanka | SL |